

Beyond the Standard Model

The Minimal Standard Model is extremely successful, & theoretically consistent. However, we know it is incomplete from various phenomenological and theoretical issues.

Phenomenological Issues

- Neutrino flavor oscillations have been observed, indicating that neutrinos must have mass.
- We have observed it accounts for only $\sim 4.6\%$ of matter in the universe
- Struggles to explain the observed matter-antimatter asymmetry.
- ...

Theoretical Issues

- No accepted unification with gravity
- hierarchy problems, fine-tuning, Λ cosmological constant
- CP problem
- ...

It is generally accepted that the SM is a low-energy approximation to a more fundamental theory,

$$\mathcal{L} = \mathcal{L}_{SM} + \mathcal{L}_{EH} + \delta\mathcal{L}_{BSM}$$

↓
Einstein-Hilbert
for classical gravity

↙
Beyond the
Standard Model

There are many theoretical approaches in looking for BSM physics

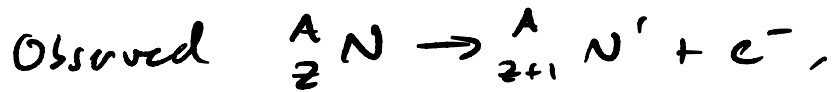
- More symmetry (GUTS, SUSY, ...)
- More d.o.f.
- Less symmetry (e.g.; Lorentz violation)
- More dimensions
- Extended fundamental d.o.f.
- ...

Here we will focus on one of the more well-known extensions BSM - Neutrino masses.

Neutrino Physics

LECTS go through a brief history of the neutrino

- pre- ν , β -decay spectrum was puzzling.



TSD, spectrum was continuous,



Pauli proposed a light fermion which interacts weakly, called the neutrino ν



- 1956 ν_e was discovered

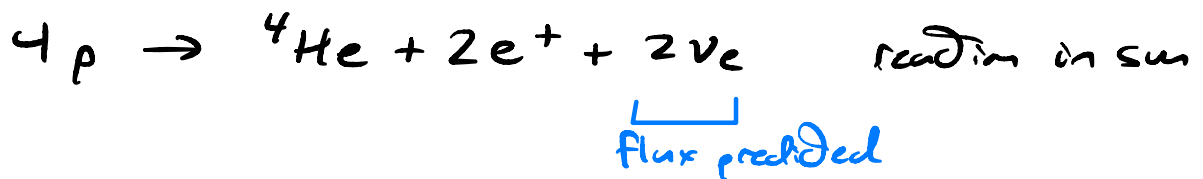
- 1962 ν_μ was discovered

- 1974-1977 ν_τ evidence

(2001) ν_τ detected (DONUT @ Fermilab)

Neutrinos exhibit parity violation (Lee 1956),
are left-handed (Goldhaber 1958), and β -decays
indicate that there are 3 light-families. All
considered within SM so far.

Between 1970 & 1994, many observations of
Solar neutrinos indicated a neutrino deficit



Experimentally observe ν_e -flux $\sim \frac{1}{3}$ (theory prediction)

Experiments at SNO (2001) and Super K (1998)

found flux ($\nu_e + \nu_\mu$) \approx theoretical flux. This led to
the hypothesis that neutrinos exhibit flavor transmutations,
i.e., weak eigenstates \neq propagating states.

(cf. CKM matrix & neutral meson oscillations)

This mixing suggests neutrinos have mass!

Let's look at a simple Quantum mechanical model,
with no spin structure & only 2 flavors

$|\alpha\rangle$, $\alpha = e, \mu$ produced by weak interactions

$|j\rangle$, $j = 1, 2$ propagating states

i.e.,

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \underbrace{\begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix}}_{\text{Mixing matrix}} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

Consider a fixed p-state $|\nu_e\rangle \equiv |\nu_e(\vec{p})\rangle$

At $t > 0$,

$$\begin{aligned} |\nu_e(t)\rangle &= e^{-iHt} |\nu_e\rangle \\ &= e^{-iHt} (\cos\theta |1\rangle - \sin\theta |2\rangle) \\ &= e^{-iE_1 t} \cos\theta |1\rangle - e^{-iE_2 t} \sin\theta |2\rangle \end{aligned}$$

Probability to detect $|\nu_e\rangle$ at t is

$$\begin{aligned} P_{e \rightarrow e}(t) &= |\langle \nu_e | \nu_e(t) \rangle|^2 \\ &= |e^{-iE_1 t} \cos^2\theta + e^{-iE_2 t} \sin^2\theta|^2 \\ &= \dots \\ &= 1 - \sin^2 2\theta \sin^2((E_1 - E_2)t/2) \end{aligned}$$

In the ultrarelativistic limit, $|\vec{p}| \gg m_j \Rightarrow |\vec{p}| \sim E$
 $t \sim L$

$$E_j \approx |\vec{p}| + \frac{1}{2} \frac{m_j^2}{|\vec{p}|}$$

some distance
at time t ,



$$\Rightarrow P_{e \rightarrow e}(t) = 1 - \sin^2 2\theta \sin^2 \left(\frac{\Delta m_{21}^2 L}{4E} \right)$$

where $\Delta m_{21}^2 \equiv m_2^2 - m_1^2$

Similar analysis gives

$$P_{e \rightarrow \mu}(t) = \sin^2 \theta \sin^2 \left(\frac{\Delta m_{21}^2 L}{4E} \right)$$

Notice that we are not sensitive to m_0 , just Δm_{21}^2 .

But, experimentally

$$\sqrt{\Delta m_{21}^2} = 9 \times 10^{-3} \text{ eV}$$

$$\sqrt{\Delta m_{32}^2} = 5 \times 10^{-2} \text{ eV}$$

Cosmological observation & direct measurements give

$$m_\nu \lesssim 2 \text{ eV}$$

Also notice that we need both $\theta \neq 0$ & $\Delta m \neq 0$
 \Rightarrow Need Flavor mixing & neutrino masses!

The total probability is 1, $P_{\nu_e \nu_e} + P_{\nu_\mu \nu_\mu} = 1 \quad \forall t$.
This model holds in vacuum, and assumes coherent and monochromatic neutrinos (although could extend argument to wave packets, & also QFT).

So, in order to study neutrinos within the SM, we need to extend it with neutrino masses. Our goal is to maintain gauge-structure of MSM, with renormalizable interactions & aim for minimal changes.

Dirac Mass

Recall that $\bar{\psi}_R \psi_R = 0 = \bar{\psi}_L \psi_L$

To add a mass term, we need a new field,

$$\mathcal{L}_{\text{mass}}^D \sim m \langle \phi \rangle \bar{\psi}_R \psi_L \text{ t.h.c.}$$

\Rightarrow Need new d.o.f. to MSM, ν_R .

$(\frac{1}{2}, \frac{1}{2})_0 \longrightarrow$ can argue this by anomaly cancellation

No stray i.d.s. \longleftarrow \longmapsto 1 new field (not doublet)

Try Yukawa - Higgs term

$$G' (\bar{L}\phi) \nu_R + h.c. \quad SU(3), SU(2) \text{ singlet } \checkmark$$

$$Y: \quad +1 \quad +1 \quad \boxed{-2} \quad \times \quad \nu_R \text{ should be } Y=0$$

↳ Does not transform correctly under Hypercharge!

Try conjugate - Higgs

$$G (\bar{L}\phi^c) \nu_R + h.c. \quad SU(3), SU(2) \text{ singlet } \checkmark$$

$$Y: \quad +1 \quad -1 \quad 0 \quad \checkmark$$

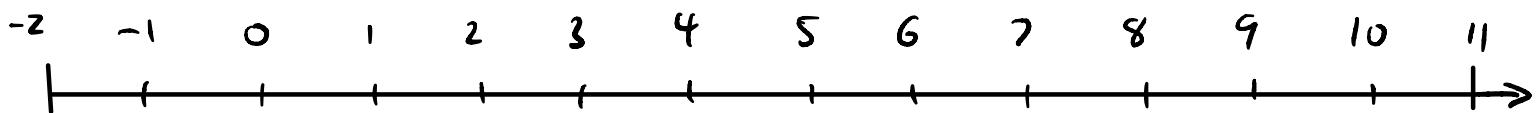
$$Q = T_3 + \frac{1}{2} Y = 0 + \frac{1}{2}(0) = 0 \quad \checkmark$$

$$\Rightarrow \boxed{G(\bar{L}\phi^c) \nu_R + h.c.} \text{ gives mass to } \nu!$$

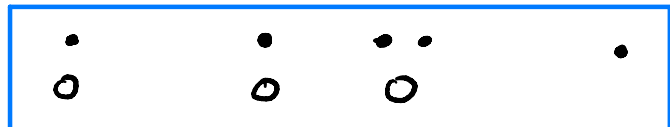
So, add new field to SM, $N = \nu_R$, $(\frac{1}{2}, \frac{1}{2})_0$

Yukawa couplings are tiny \Rightarrow New hierarchy problem?

mass $\log_{10} \text{ eV}$

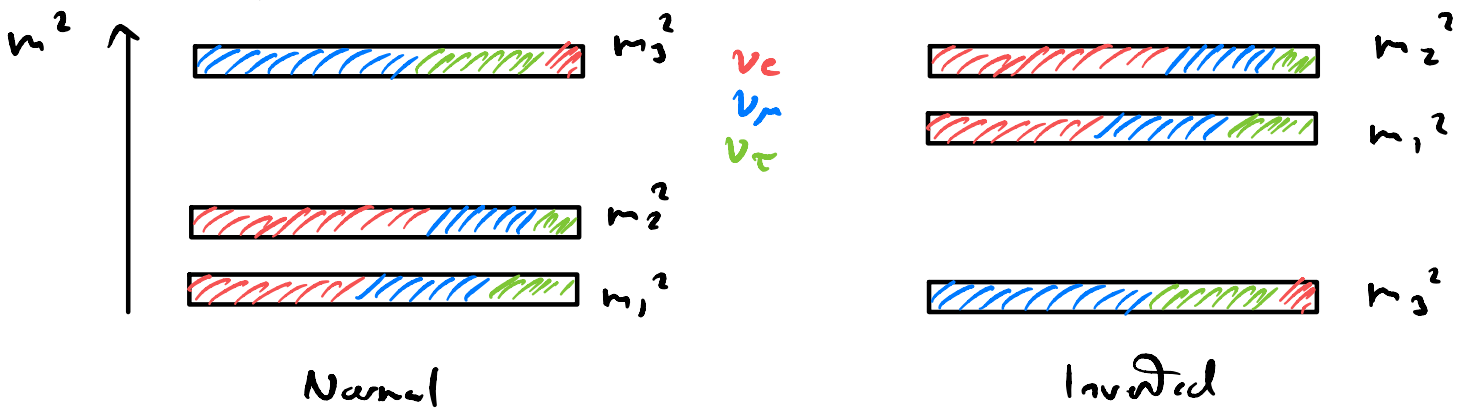


new Yukawa couplings



SM Yukawa couplings

Since we have Δm^2 , two possibilities for mass spectrum



Have neutrino mass, what about mixing?

Let's revisit Yukawa mixings for leptons. Now that there is a right-handed neutrino, cannot perform diagonalization trick.

$$l_L = (U_L^L) \hat{l}_L \quad \nu_L = (U_L^\nu) \hat{\nu}_L$$

$$l_R = (U_R^L) \hat{l}_R \quad \nu_R = (U_R^\nu) \hat{\nu}_R$$

So,

$$\mathcal{L}_{\text{mass}}^{\text{leptons}} = -\frac{1}{\sqrt{2}} G^L \bar{l}_R (0, a) \begin{pmatrix} \nu_L \\ l_L \end{pmatrix} + \text{h.c.}$$

$$-\frac{1}{\sqrt{2}} G \bar{\nu}_R (a, 0) \begin{pmatrix} \nu_L \\ l_L \end{pmatrix} + \text{h.c.}$$

$$= -\frac{1}{\sqrt{2}} \bar{l}_R \underbrace{(U_R^L)^\dagger G^L (U_L^L)}_{\text{choose s.t. diagonal}} (0, a) \begin{pmatrix} (U_L^L)^\dagger (U_L^\nu) \hat{\nu}_L \\ \hat{l}_L \end{pmatrix} + \text{h.c.}$$

$$- \frac{1}{\sqrt{2}} \bar{\nu}_R \underbrace{(U_R^\nu)^\dagger G (U_L^\nu)}_{\text{choose s.t. diagonal}} (a, 0) \begin{pmatrix} \hat{\nu}_L \\ (U_L^\nu)^\dagger (U_L^L) \hat{l}_L \end{pmatrix} + \text{h.c.}$$

$$\supset -m_e \bar{l}_R \hat{l}_L + \text{h.c.} \quad -m_\nu \bar{\nu}_R \hat{\nu}_L + \text{h.c.}$$

As with the CKM matrix, this lepton mixing affects interactions with W^\pm ,

$$\bar{L} \mathcal{D} L = (\bar{\nu}_L, \bar{e}_L) \gamma^\mu \left(\begin{array}{c|c} \# & -\frac{1}{\sqrt{2}} i g W_\mu^+ \\ \hline -\frac{1}{\sqrt{2}} i g W_\mu^- & \# \end{array} \right) \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}$$

$$\Rightarrow \bar{L} \mathcal{D} L \supset \bar{\hat{\nu}}_L (U_L^\nu)^\dagger \left(-\frac{1}{\sqrt{2}} i g W_\mu^+ \right) (U_L^e) \hat{e}_L + \bar{\hat{e}}_L (U_L^e)^\dagger \left(-\frac{1}{\sqrt{2}} i g W_\mu^- \right) (U_L^\nu) \hat{\nu}_L$$

Define

$$U_{PMNS} \equiv (U_L^e)^\dagger (U_L^\nu)$$

Pontecorvo - Maki - Nakagawa - Sakata (PMNS) mixing matrix

Mixing angle counting is same as CKM

$$\theta'_{12} = 33.41^\circ \begin{matrix} +0.75^\circ \\ -0.72^\circ \end{matrix}$$

Assumes normal ordering

$$\theta'_{23} = 49.1^\circ \begin{matrix} +1.0^\circ \\ -1.3^\circ \end{matrix}$$

$$\theta'_{13} = 8.54^\circ \begin{matrix} +0.11^\circ \\ -0.12^\circ \end{matrix}$$

$$\delta' = 197^\circ \begin{matrix} +42^\circ \\ -25^\circ \end{matrix}$$

← very difficult to measure

Compare with CKM: $\theta_{12} \sim 13^\circ$, $\theta_{23} \sim 2^\circ$, $\theta_{13} \sim 0.2^\circ$, $\delta \sim 70^\circ$

So, adding neutrino masses "seems" simple, take MSM with 19 parameters, add right-handed neutrinos with 3 mass parameters + 4 PMNS parameters. Why is this not accepted as "SM", and considered as "BSM"? It turns out since neutrinos are neutral, there is an alternative way to add neutrino masses with SM fields.

Majorana Mass

Recall for scalar fields,

$\phi^\dagger \phi$ vs. $\phi\phi$
 \hookrightarrow has conserved current

$\phi\phi$
 \hookrightarrow no conserved current

Both are mass terms! For spin- $\frac{1}{2}$, have similar structures

$\bar{\psi}\psi$ vs. $\bar{\psi}\psi^c$ ← complex conjugate
 \hookrightarrow has conserved current

$\bar{\psi}\psi^c$
 \hookrightarrow no conserved current (not complex conj)
 \Rightarrow still Lorentz invariant

Recall: $\psi^c = C \bar{\psi}^T$

$\bar{\psi}\psi^c = \bar{\psi} C \bar{\psi}^T$ are called Majorana mass terms.

Propose new mass terms

$$\mathcal{L}_{\text{mass}}^M \sim () \bar{\nu}_L \nu_L^c = () \bar{\nu}_L C \bar{\nu}_L^T$$

↳ Same ϕ field mechanism

Lets try various constructions

- d=3 terms

$$\bar{\nu}_L C \bar{\nu}_L^T, \quad \bar{L} C \bar{L}^T$$

hypercharge $Y = +1 +1 +1 +1 \Rightarrow Y \neq 0$ ✗

- d=4 terms, need one Higgs doublet

\Rightarrow still 1 unaccounted $SU(2)$ index!

$$(\bar{L}\phi) C \bar{L}^T, \quad (\bar{L}\phi^c) C \bar{L}^T$$

↑ $\text{no } SU(2) \text{ index}$ ↳ $SU(2) \text{ index}$

- d=5, two Higgs fields

We know that $\bar{L}\phi^c$ is singlet under all gauge groups of MSM

$$Y = +1 -1 = 0 \quad \checkmark$$

try

$$\sum_M (\bar{L}\phi^c) C (\bar{L}\phi^c)^T + \text{h.c.}$$

↑ $[M] = \text{Giv}$ ↳ ϕ^c on spinor indices

$$= \phi^c{}^T \bar{L}^T$$

After SSB, $\Phi^c = \frac{a}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

$$\Rightarrow \frac{1}{M} (\bar{L} \Phi^c) C (\Phi^{cT} \bar{L}^T) + \text{h.c.}$$

$$\bar{\nu}_L \frac{a}{\sqrt{2}} \quad \bar{\nu}_L^T \frac{a}{\sqrt{2}}$$

$$= \frac{a^2}{2M} \bar{\nu}_L C \bar{\nu}_L^T + \text{h.c.}$$

↳ Majorana mass term!

Notice no mass term generated for l -leptons.

Suppose $M \sim 10^{16}$ GeV (GUT scale)

$$\Rightarrow m_\nu \sim \frac{(246 \text{ GeV})^2}{10^{16} \text{ GeV}} \approx 3 \times 10^{-3} \text{ eV} !$$

So, $\mathcal{L}_{\text{mass}} = -\frac{1}{M} (\bar{L} \Phi^c) C (\bar{L} \Phi^c)^T + \text{h.c.}$

No new particle & no new hierarchy problem!

Can extend to 3 families. The $d=5$ operator is called "Weinberg operator" (1979), and is usually interpreted as an effective interaction.

Note also that lepton # is not conserved!

$$\nu_L \rightarrow e^{iQ_L \alpha} \nu_L$$

$$\bar{\nu}_L \rightarrow e^{-iQ_L \alpha} \bar{\nu}_L$$

$$\Rightarrow \bar{\nu}_L C \bar{\nu}_L^T \rightarrow e^{-2iQ_L \alpha} \bar{\nu}_L C \bar{\nu}_L^T$$

violates lepton number by 2 units

MSM \rightarrow each lepton # is conserved

Dirac ν + MSM \rightarrow Total lepton # is conserved

Majorana ν + MSM \rightarrow lepton # not conserved

To include mixing, $\frac{1}{M} \rightarrow \left(\frac{1}{M}\right)_{AB} \equiv H_{AB} \quad [H] = \text{GeV}^{-1}$

\hookrightarrow family index

Consider $\bar{\nu}_L^A C \bar{\nu}_L^{BT} = (\bar{\nu}_L^A C \bar{\nu}_L^{BT})^T$ \checkmark flavor space

$$= -\bar{\nu}_L^B C^T \bar{\nu}_L^{AT}, \quad C^T = -C$$

$$= \bar{\nu}_L^B C \bar{\nu}_L^{AT} \Rightarrow \text{Symmetric!}$$

So, $\mathcal{L}_{\text{mass}}^M \sim H_{AB} \bar{\nu}_L^A C \bar{\nu}_L^{BT}$

$\Rightarrow H_{AB}$ is symmetric! $H^T = H$ (unlike Yukawa in MSM)

note! H not Hermitian!

Diagonalize, $U H U^T = \text{diagonal}$

Lt $J = H H^T \Rightarrow J = J^T$ Hermitian

So, $V^+ J V = \text{diagonal}$, real eigenvalues

Lt $A = V^T H V$, $A^T = V^T H^T V = A$ since $H^T = H$

So, $A^+ A = V^+ H^+ V^* V^T H V$

$$\underbrace{(V V^+)^+}_{= 1} = 1$$

$= V^+ H^+ H V$ diagonal, λ real

Lt $A = X + iY$, X, Y real matrices ($X^T = X, Y^T = Y$)

$$A^+ A = X^2 - Y^2 + X^T iY - iY^T X$$

$$= X^2 - Y^2 + i[X, Y]$$

Since $A^+ A$ is real $\Rightarrow [X, Y] = 0$

$\Rightarrow X, Y$ simultaneously diagonalizable

$$W X W^T \text{ diagonal}$$

$$W Y W^T \text{ diagonal}$$

$$\begin{aligned}
 \Rightarrow U H U^T &= W V^T H V W^T \\
 \text{with } U &= W V^T \\
 &= W \underbrace{A}_{A} W^T \\
 &= W (X + iY) W^T \\
 &= \text{diagonal!}
 \end{aligned}$$

So, can diagonalize to get mass terms.

Define $\bar{\nu}_L = \widehat{\bar{\nu}}_L (U_L^M)$, as before

↳ Notice, defined with $\bar{\nu}$!

$$\mathcal{L} \supset \mathcal{L} = (\bar{\nu}_L, \bar{e}_L) \gamma^\mu \left(\begin{array}{c|c} \# & -\frac{1}{\sqrt{2}} i g W_\mu^+ \\ \hline -\frac{1}{\sqrt{2}} i g W_\mu^- & \# \end{array} \right) \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}$$

= diagonal terms

$$-\frac{1}{\sqrt{2}} i g \bar{\nu}_L (U_L^M) \gamma^\mu W_\mu^+ (U_L^e) \hat{e}_L$$

$$-\frac{1}{\sqrt{2}} i g \bar{e}_L (U_L^e)^\dagger \gamma^\mu W_\mu^- (U_L^M) \hat{\nu}_L$$

Define $U_M \equiv (U_L^M) (U_L^e)$

$$U_M^\dagger \equiv (U_L^e)^\dagger (U_L^M)^\dagger \equiv \underline{U_{PMNS}}$$

Parameter counting for $U_{PMNS}^{(1)}$.

Since $U \equiv U_{PMNS}^{(1)}$ is unitary, $U^\dagger U = \mathbb{1}$

$$\Rightarrow U = e^{iG\epsilon}, \quad G = G^\dagger$$

Take $G = X + iY$, so $G^\dagger = G \Rightarrow$

$X = X^T$	<u># params</u>
$Y = -Y^T$	$\frac{1}{2}n(n+1)$
	$\frac{1}{2}n(n-1)$

So, $U_X \equiv e^{iX\epsilon} \simeq e^{i\epsilon} \Rightarrow \frac{1}{2}n(n+1)$ phases

$U_Y \equiv e^{-Y\epsilon} = \sin\epsilon, \cos\epsilon \Rightarrow \frac{1}{2}n(n-1)$ mixing angles

For a Dirac mass term,

$$\left. \begin{array}{l} 3 \text{ phases} \\ 3 \text{ phases} \end{array} \right\} \text{absorb into } \left\{ \begin{array}{l} \nu \\ e \end{array} \right\}$$

$$\Rightarrow \frac{1}{2}3(3+1) - 6 + 1 = \underline{1 \text{ phase}}$$

↑ 2 unstrained phase

For Majorana mass term,

only 3 phases can be absorbed into e

$$\Rightarrow \frac{1}{2}3(3+1) - 3 = \underline{3 \text{ phases}}$$

Can write

$$U_{\rho m n s} = U_{\rho m n s} \begin{pmatrix} e^{i\alpha} & & 0 \\ 0 & e^{i\beta} & \\ & & 1 \end{pmatrix}$$

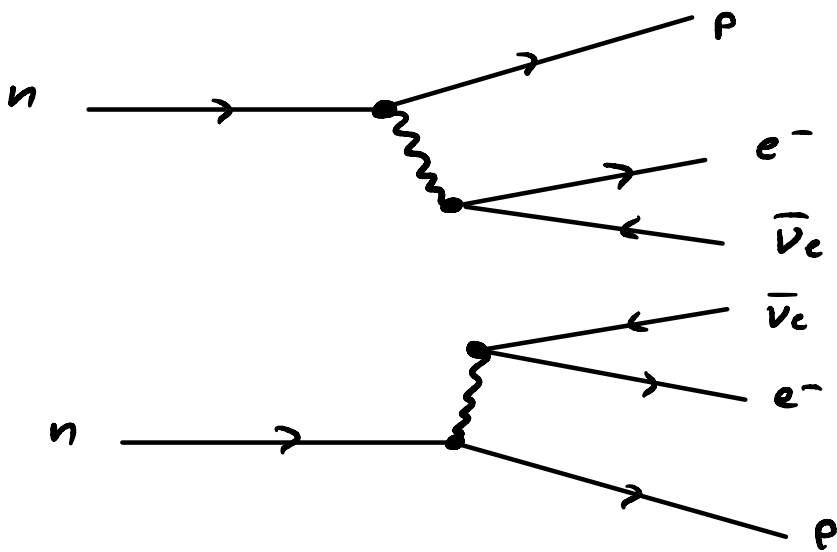
α, β are CP violating phases \Rightarrow so far unconstrained!

Summary of Neutrino mass approaches

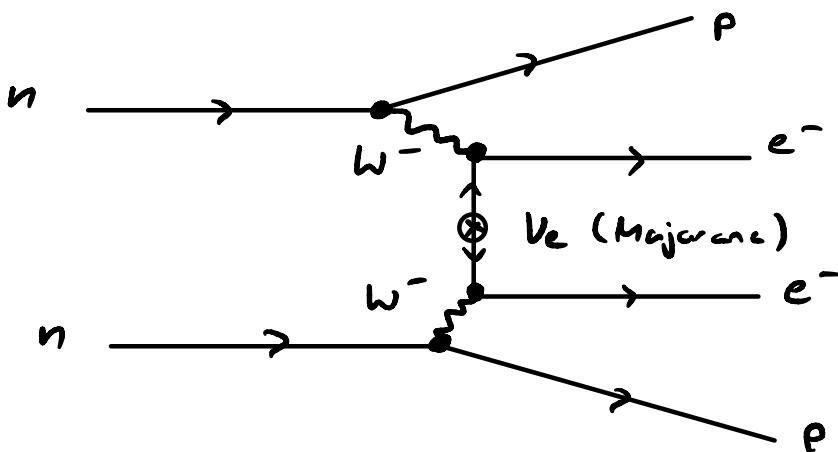
	Dirac	Majorana
δL	$G(\bar{L}\Phi^c)v_R + h.c.$	$\frac{1}{M}(\bar{L}\Phi^c)C(\bar{L}\Phi^c)^T + h.c.$
New D.O.F.	3	0
Coupling	$G, [G]=0$	$\frac{1}{M}, [\frac{1}{M}] = GeV^{-1}$
Mass Dim.	4	5
Total Lepton Number	conserved	not conserved
Parameters	3 masses 3 mixing angles 1 CP phase	3 masses 3 mixing angles 3 CP phases

- 3 Mixing angles have been measured
- $\Delta m_{21}^2, \Delta m_{32}^2$ have also been measured
- 1 CP phase is next major goal.
- Seeking opportunities for 2 Majorana phases
- Next major goal is absolute mass scale.

One opportunity is in double β -decay. It has been observed that $2n \rightarrow 2p + 2e^- + 2\bar{\nu}_e$



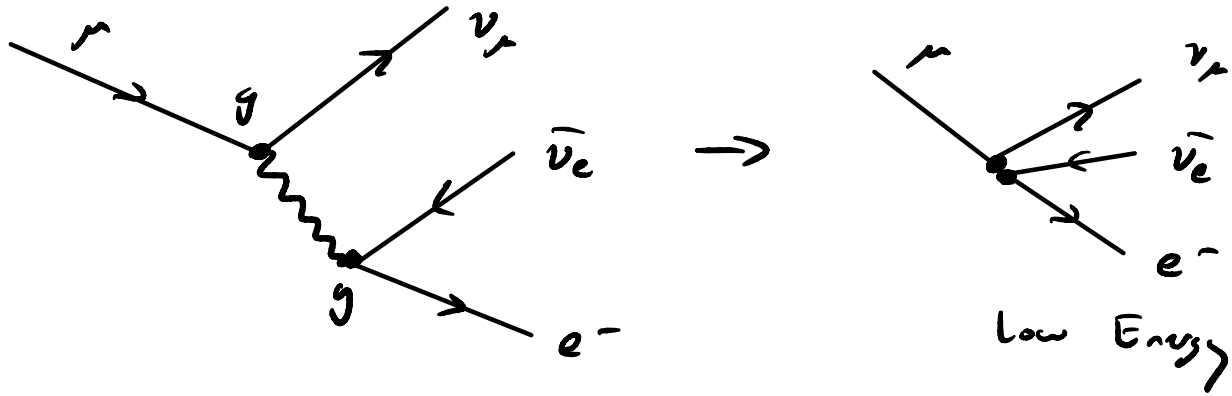
$\beta\beta$, if neutrino is also Majorana, can have $0\nu\beta\beta$ -decay



Lepton # violation!

See Saw Mechanism

The Majorana mass term suggests this is an effective interaction, e.g., Four-Fermi theory from SM



$$\mathcal{M} \sim g^2 \int_{\alpha}^{(\mu)} \left(\frac{-g_{\alpha\beta}}{p^2 - m_W^2} \right) \int_{\beta}^{(e)}$$

$$, [g] = 0$$

$$\text{if } p^2 \ll m_W^2 \Rightarrow \frac{1}{p^2 - m_W^2} = \frac{1}{m_W^2} + \mathcal{O}(p^2/m_W^2)$$

$$\Rightarrow \mathcal{M} \sim \underbrace{\frac{g^2}{m_W^2}}_{G_F} \int_{\alpha}^{(\mu)} \int^{(e)} \alpha$$

$$[G_F] = -2$$

$$\text{So, } \mathcal{L}_{\text{eff}} \sim G_F \int_{\alpha}^{(\mu)} \int^{(e)} \alpha$$

↑ higher dimension operator!

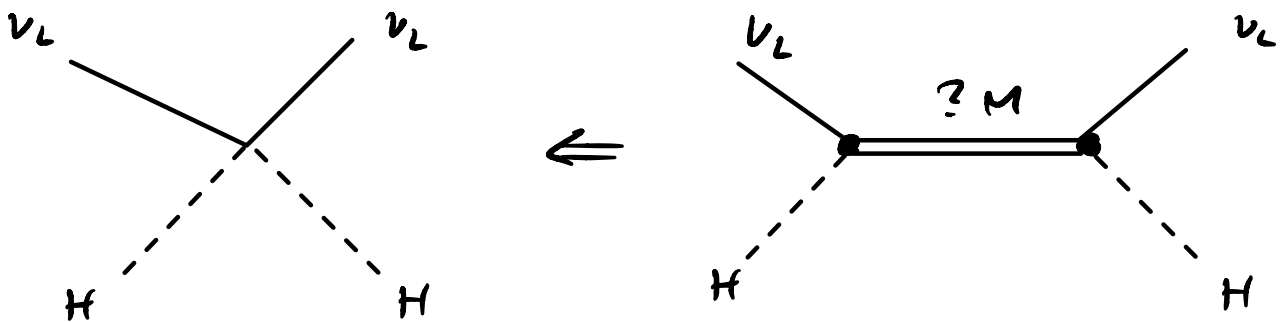
This effective theory breaks down @ $p^2 \sim m_W^2$

Applying this idea to Majorana neutrinos,

$$\left(\frac{1}{M}\right)_{AB} (\bar{L}_A \Phi^c) C (\bar{L}_B \Phi^c)^T$$

May be seen as low-energy effective operator from more fundamental theory with heavier d.o.f.

⇒ Not necessarily a theoretical problem



There are various models which explore this. One common one is the seesaw mechanism. Consider a single family,

$$\delta \mathcal{L}_{\text{SM}} = -G (\bar{L} \Phi^c) \nu_R - \frac{1}{2} M \bar{\nu}_R^c \nu_R + \text{h.c.}$$

heavy d.o.f.

↑ Majorana mass

↑ Dirac mass after SSB — $m \bar{\nu}_L \nu_R$

SSB ↓

$$= -\frac{1}{2} (\bar{\nu}_L, \bar{\nu}_R^c) \begin{pmatrix} 0 & m \\ m & M \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} + \dots$$

Consider $U = \begin{pmatrix} 1 & m/M \\ -m/M & 1 \end{pmatrix}$, $UU^T = UU^\dagger = \mathbb{1}$

For $M \gg m$,

$$\delta\mathcal{L} = \frac{1}{2} (\bar{\nu}_L, \bar{\nu}_R^c) U U^T \begin{pmatrix} 0 & m \\ m & M \end{pmatrix} U U^T \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix}$$

$$= \begin{pmatrix} -m & \frac{m}{M} & 0 \\ 0 & 0 & M \end{pmatrix} + \text{higher order}$$

So, $U^T \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} = \begin{pmatrix} 1 & -m/M \\ +m/M & 1 \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix}$ expand in $\frac{m}{M}$

$$= \begin{pmatrix} \nu_L^c - \frac{m}{M} \nu_R \\ \nu_R + \frac{m}{M} \nu_L^c \end{pmatrix}$$

⇒ Light (mostly) left-handed Majorana " ν_L "
 Heavy (mostly) right-handed Majorana " ν_R "